

**ACCOUNT HOLDER IDENTIFICATION**

I, the undersigned, \_\_\_\_\_ and \_\_\_\_\_  
Surname and first name of Account holder (hereinafter collectively the "Client")  
Surname and first name of Account co-holder, if applicable

grant \_\_\_\_\_  
Surname and first name of Agent Date of Birth of Agent (MM DD YYYY)  
Occupation of Agent  
Type of business of Agent

residing at \_\_\_\_\_ (hereinafter the "Agent")  
Home Address of Agent  
Telephone number of Agent

the powers set out below with respect to all Client's Accounts, present and future, grouped under the Account root(s) listed below and held at National Bank Direct Brokerage Inc. (hereinafter the "Broker"). A root is defined by the first six digits of the Account number.

1. \_\_\_\_\_ 2. \_\_\_\_\_ 3. \_\_\_\_\_ (hereinafter the "Account")

**PLEASE TICK ONLY ONE BOX**

**Trading Authorization**

I authorize the Agent to represent me and to give any instruction on my behalf relating to the administration of my Account, including namely, orders for the purchase (in cash or on margin), the sale (including short sales) or the trading of any security and the use of any available credit balance.

**Restrictions:** This authorization **does not authorize** the Agent to:

- Receive, endorse, withdraw, transfer or assign any security or available credit balance held in the Account on behalf of the Client, the Agent or any third party. (This should not be interpreted as limiting the powers of the Agent to give instructions with respect to the purchase or sale of any security.);
- Draw and issue, receive, endorse and assign any cheque, draft, bill of exchange or negotiable instrument on behalf of the Client, the Agent or any third party;
- Open, close, change the address of an Account and sign any agreement or document required to administer the Account.

**Power of Attorney**

I authorize the Agent to represent me and to give any instruction on my behalf relating to the administration of my Account, including namely but not exclusively, orders for the purchase (in cash or on margin), the sale (including short sales) the delivery or the trading of any security and the use of any available credit balance.

**Powers:** Without limiting the generality of the foregoing, I grant the Agent the power to:

- Receive, withdraw, transfer or assign on the Client's behalf, the securities or available credit balances from the Account;
- Draw and issue, receive, endorse and assign any cheque, draft, bill of exchange or negotiable instrument on behalf of the Client.

**Restrictions:** This authorization **does not authorize** the Agent to:

- Receive, endorse, withdraw, transfer or assign any security or available credit balance held in the Account on behalf of the Agent or any third party;
- Draw and issue, receive, endorse and assign any cheque, draft, bill of exchange or negotiable instrument on behalf of the Agent or any third party;
- Open, close, change the address of an Account and sign any agreement or document required to administer the Account.

I hereby ratify in advance all actions taken by the Agent pursuant to this Trading Authorization or Power of Attorney. I acknowledge that all actions taken by the Agent shall be governed by the provisions of the Account opening agreements signed by the Client. It is understood that the Broker shall not be held liable for any loss or damage, present or future, direct or indirect, that the Client may sustain and which would in any way whatsoever be related to the appointment of the Agent under the terms of this Trading Authorization or Power of Attorney.

This instruction shall remain in effect until it is revoked by the Client by way of a written notice to the Broker.

**Important notice:** If the Client has not specified Trading Authorization or Power of Attorney, National Bank Direct Brokerage will assume that the Client wishes to grant the Agent trading authorization only.

\_\_\_\_\_  
Date (MM DD YYYY) Signature of Account holder Date (MM DD YYYY) Signature of Account co-holder (if applicable)

**GENERAL INFORMATION ON THE AGENT**

1. Is the Agent a "1 reporting insider" within the meaning of the regulation?

Yes  No **If yes**, indicate the name of the company and its stock ticker symbol:

<sup>1</sup> You are a reporting insider if you are the chief executive officer, the chief financial officer or the chief operating officer or a director of a reporting issuer or if you have beneficial ownership of or control or direction over securities of an issuer carrying more than 10 per cent of the voting rights attached to all the reporting issuer's outstanding voting securities or have access to information as to material facts or material changes concerning the reporting issuer before the material facts or material changes are generally disclosed.

2. Does the Agent, either as an individual or a group member, own a control position in such a company (20% or more of voting shares)?

Yes  No **Company name:** \_\_\_\_\_ **Ticker symbol:** \_\_\_\_\_

3. Is the Agent or a member of your family (spouse, children, parents) with whom you live, an employee, officer or director of a securities broker?

Yes  No **If yes**, please attach a written authorization from a director or partner of the firm (e.g., compliance officer) authorizing you to open this brokerage Account.

4. Is the Agent compensated for his services pursuant to this Trading Authorization or Power of Attorney?

Yes  No

**If yes**, is the Agent duly registered under securities legislation or has he obtained an exemption from registration?

Yes  No

5. Does the Agent have trading authorization or power of attorney for other Accounts at National Bank Direct Brokerage or elsewhere?

Yes  No **If yes**, how many : \_\_\_\_\_

6. a) Is the Agent an immediate family member <sup>2</sup> of the Account holder or co-holder (if applicable)?

Yes Specify the relationship: \_\_\_\_\_

<sup>2</sup> By an immediate family member we mean a spouse, father and mother, child, brother and sister, mother and father-in-law, son and daughter-in-law, brother and sister-in-law, nephew and niece, cousin, uncle and aunt and any other family member who shares the Account holder's home.

No go to 6 b)

b) **If no**, explain the relationship between the Agent and the Account holder or co-holder (if applicable <sup>3</sup>):

<sup>3</sup> Please note that if the Agent is not an immediate family member, the file could be submitted for evaluation.

**VERIFICATION OF IDENTITY OF AGENT**

In compliance with the *Proceeds of Crime (Money Laundering) and Terrorist Financing Act*, the identity of any person authorized to give instructions for a brokerage Account must be checked. **In order to do so, the Agent must complete the "Verification of identity" Form (F.19447-702).**

The Agent undertakes to complete the above form for identification purposes and in order to perform the powers hereby given under this Trading Authorization or Power of Attorney. *Please note that if the Agent is a National Bank of Canada Client, unless otherwise instructed and if certain conditions are satisfied, National Bank Direct Brokerage will automatically check his identity with National Bank of Canada.*

\_\_\_\_\_  
Date (MM DD YYYY) Signature of Agent

**STATEMENT OF WITNESSES (CANADA EXCEPT QUEBEC ONLY)**

We have witnessed the signature of the Account Holder whose name and signature are set out above and have no reason to believe that the Account Holder is incapable of giving a trading authorization or power of attorney. We have signed this Trading Authorization or Power of Attorney the presence of the Account Holder and in the presence of each other.

**Note:** The following people cannot be witnesses: the Agent or the spouse or partner of the Agent; the spouse, partner or child of the Account Holder whose name and signature are set out above or someone that person treats as his or her child; a person who is not yet 18 years of age; a person whose property is under guardianship; or a person who is under guardianship. This Trading Authorization or Power of Attorney, if improperly witnessed, may not continue to be effective.

\_\_\_\_\_  
Date (MM DD YYYY) Signature of Witness Name of Witness (in block letters)

**RESERVED FOR NATIONAL BANK DIRECT BROKERAGE**

Verification of an identity document at CIS  SSC verification

\_\_\_\_\_  
Date (MM DD YYYY) National Bank Direct Brokerage Employee